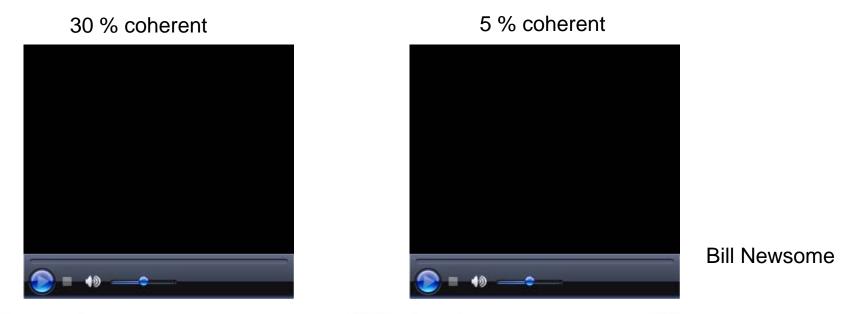
## Modeling decisions

Note: many slides based on talk by Phil Holmes, Princeton

## Moving dots decision task: Left or right?

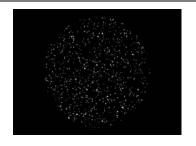
Example of two-alternative decision task

Newsome, Movshon, Zohary, Shadlen, Gold, Britten ... '90s and '00s



- Behavioral measures: reaction time (RT) distribution, error rate (ER).
- Neural measures: fMRI (humans), direct recordings in visual processing and motor areas (monkeys: MT, LIP, FEF).

#### Statistical hypothesis testing: discrimination among alternatives

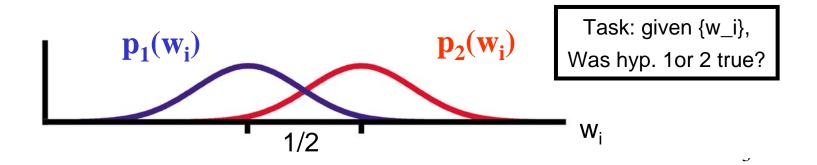


Hypothesis 1 – leftward dots Hypothesis 2 – rightward dots

Consider increments of time  $\Delta t_i$ 

 $W_i$  = random string drawn from 2 distributions  $p_1(w), p_2(w)$  representing *i*'th increment of evidence for hypotheses 1, 2 resp.

e.g.  $w_i$  = 'fraction of right-going dots observed" over  $\Delta t_i$ 



#### Statistical hypothesis testing: discrimination among alternatives

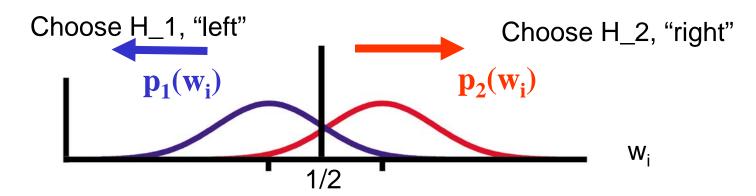


Hypothesis 1 – leftward dots Hypothesis 2 – rightward dots

Partial (optimal) solution to "static" problem:

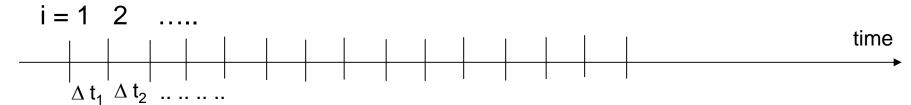
Max. likelihood based on a 'single' time interval

e.g. w<sub>i</sub> = 'fraction of right-going dots observed" over Delta t<sub>i</sub>

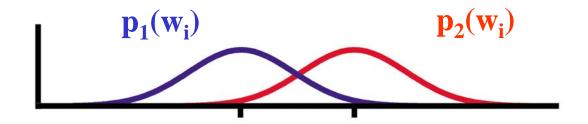


## What about the DYNAMIC problem: decision?

Consider increments of time  $\Delta t_i$ 



 $\mathbf{w}_{i}$  = random string drawn from 2 distributions  $\mathbf{p}_{1}(\mathbf{w}),\mathbf{p}_{2}(\mathbf{w})$  representing *i*'th increment of evidence for hypotheses 1, 2 resp.



#### **SEQUENTIAL PROBABILITY RATIO TEST (SPRT): Wald, 1947**

See review, Gold and Shadlen 2001

Consider the likelihood ratio

$$R_n = \prod_{i=1}^n \frac{p_1(w_i)}{p_2(w_i)}$$

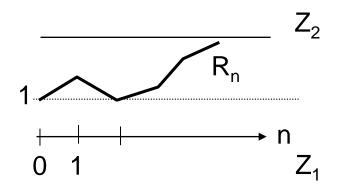
 $\overline{\mathrm{SPRT}}$ : Fix thresholds ,  $Z_1 < 1 < Z_2$  and continue observing as long as

$$Z_1 < R_n < Z_2 .$$

As soon as

$$R_n \leq \mathbf{Z_1} \Rightarrow \text{declare hyp 1 true},$$
or

 $R_n \geq \mathsf{Z_2} \ \Rightarrow \ \mathrm{declare\ hyp\ 2\ true}.$ 



"Random walk"

$$R_n = \prod_{i=1}^n \frac{p_1(w_i)}{p_2(w_i)}$$

 $\overline{\mathrm{SPRT}}$ : Fix thresholds ,  $Z_1 < 1 < Z_2$  and continue observing as long as

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As soon as

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or

$$R_n \geq \mathbf{Z_2} \implies \text{declare hyp 2 true.}$$

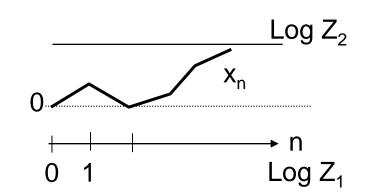
Let  $E_j(N)$  = expected no. obs needed to declare hyp j true with specified error probabilities  $a_j$ , j = 1, 2.

**Theorem** (Wald, Barnard) Among all fixed sample or sequential tests, SPRT with error probabilities  $a_j$  minimises  $E_j(N)$ .

There are formulae for  $Z_i$  in terms of  $a_j$ .

#### Take logarithm to make SPRT an 'additive' test in time

$$x_n = \log(R_n) = \log \prod_{i=1}^n \frac{p_1(w_i)}{p_2(w_i)}$$
  
=  $\sum \log \frac{p_1(w_i)}{p_2(w_i)} = \sum \delta I_i$ 



E.g. If  $p_i$  are normal distributions,

$$p_{j}(\mathbf{w}) = \frac{1}{\sqrt{2\pi\sigma^{2}}} \exp\left[\frac{-(\mathbf{w} - \mu_{j})^{2}}{2\sigma^{2}}\right],$$

a short calculation shows that:

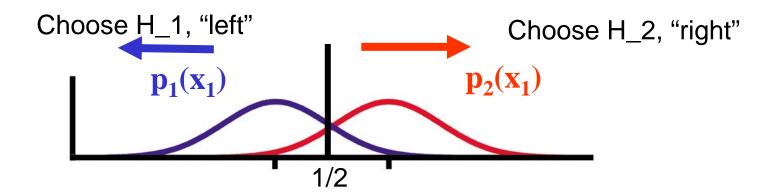
$$E(\delta I_i) = (\mu_1 - \mu_2)^2/\sigma^2 \stackrel{\text{def}}{=} A$$
, if  $\mathbf{w_i}$  drawn from  $p_1$ , (opposite sign if from  $\mathbf{p_2}$ ) 
$$Var(\delta I_i) = |\mu_2 - \mu_1| \stackrel{\text{def}}{=} D$$
.

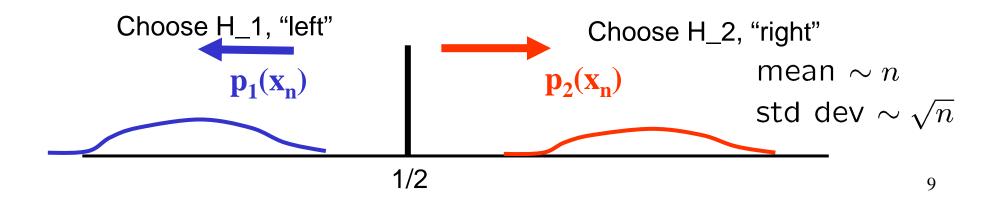
#### "Random walk"

#### Take logarithm to make SPRT an 'additive' test in time

$$x_n = \log(R_n) = \log \prod_{i=1}^n \frac{p_1(w_i)}{p_2(w_i)}$$
 (1)  
=  $\sum \log \frac{p_1(w_i)}{p_2(w_i)} = \sum \delta I_i$  (2)

$$= \sum \log \frac{p_1(w_i)}{p_2(w_i)} = \sum \delta I_i \tag{2}$$





#### Take logarithm to make SPRT an 'additive' test in time

$$x_n = \log(R_n) = \log \prod_{i=1}^n \frac{p_1(w_i)}{p_2(w_i)}$$
 (1) Log Z<sub>2</sub>

$$= \sum \log \frac{p_1(w_i)}{p_2(w_i)} = \sum \delta I_i$$
 (2) 0
$$= \sum \log Z_1$$

E.g. If  $p_i$  are normal distributions,

$$p_{j}(w) = \frac{1}{\sqrt{2\pi\sigma^{2}}} \exp \left[\frac{-(w - \mu_{j})^{2}}{2\sigma^{2}}\right],$$

a short calculation shows that:

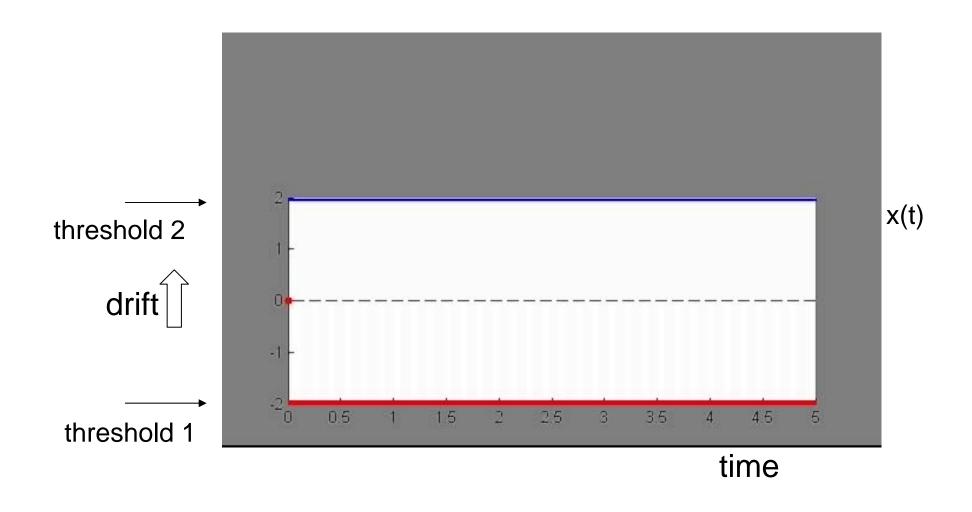
$$E(\delta I_i) = (\mu_1 - \mu_2)^2/\sigma^2 \stackrel{\text{def}}{=} A$$
, if  $\mathbf{w_i}$  drawn from  $p_1$  (opposite sign if from  $\mathbf{p_2}$ ) 
$$Var(\delta I_i) = |\mu_2 - \mu_1| \stackrel{\text{def}}{=} D$$
.

Continuous time limit of random walk is **DRIFT-DIFFUSION MODEL** 

$$\frac{dx}{dt} = \pm A + \sqrt{D}\eta(t) \leftarrow \text{noise term}$$
 (e.g. "-A" if draw from p<sub>2</sub>, i.e. hyp. 2)

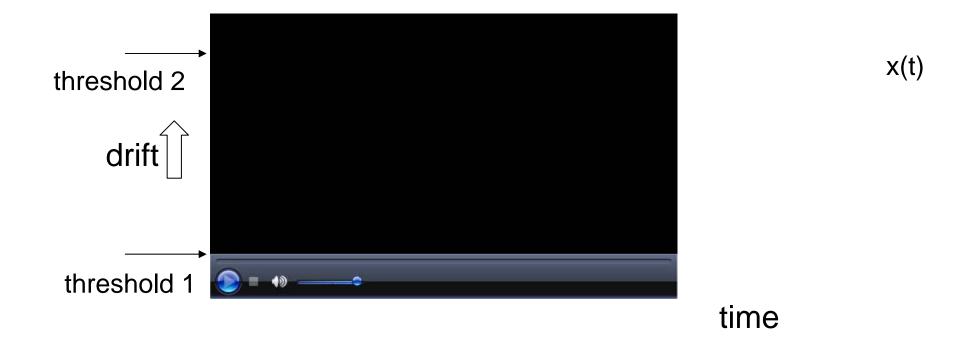
## 4.1. Behavioral Evidence for DD process

[Ratcliff, 1978; Ratcliff et al 1999], SPRT Used by Laming 1968. With 5-7 adjustable parameters, can match individual subject RTs well.



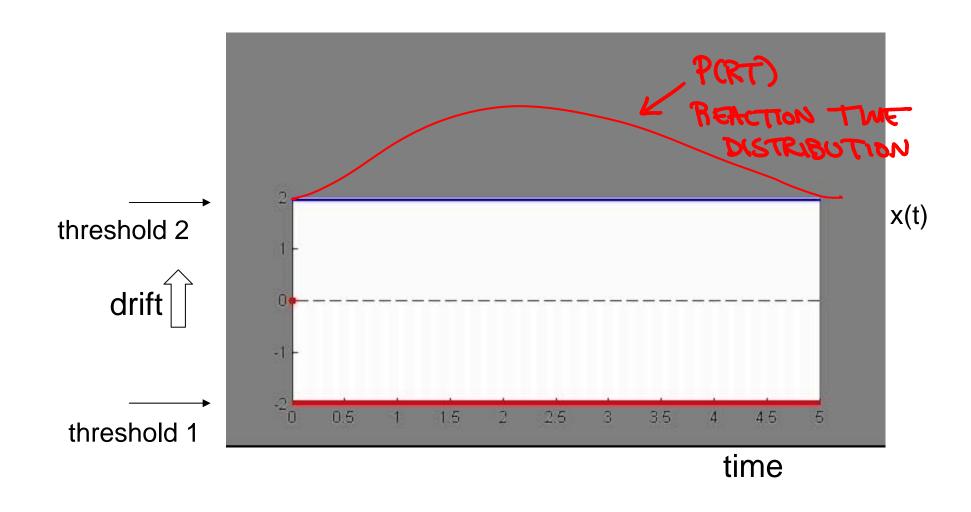
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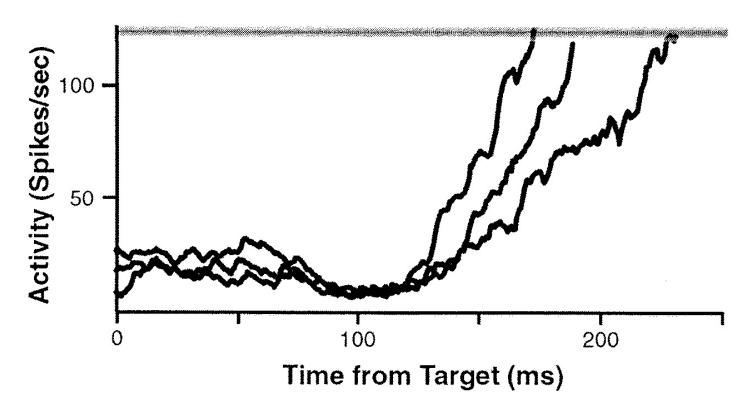
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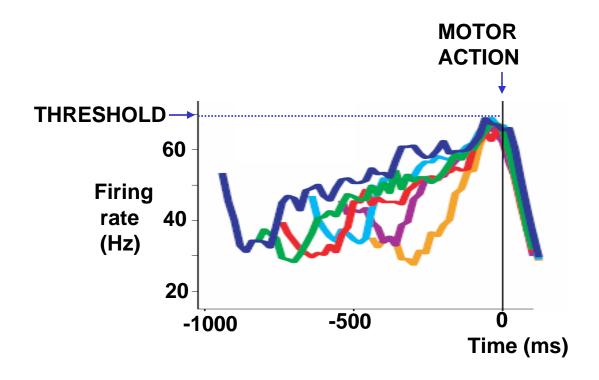


## 4.2. Neural Evidence for DD process

LIP and FEF neural spike rates vs. time - evidence for crossing fixed threshold prior to response (saccade).



J. Schall, V. Stuphorn, J. Brown, Neuron, 2002



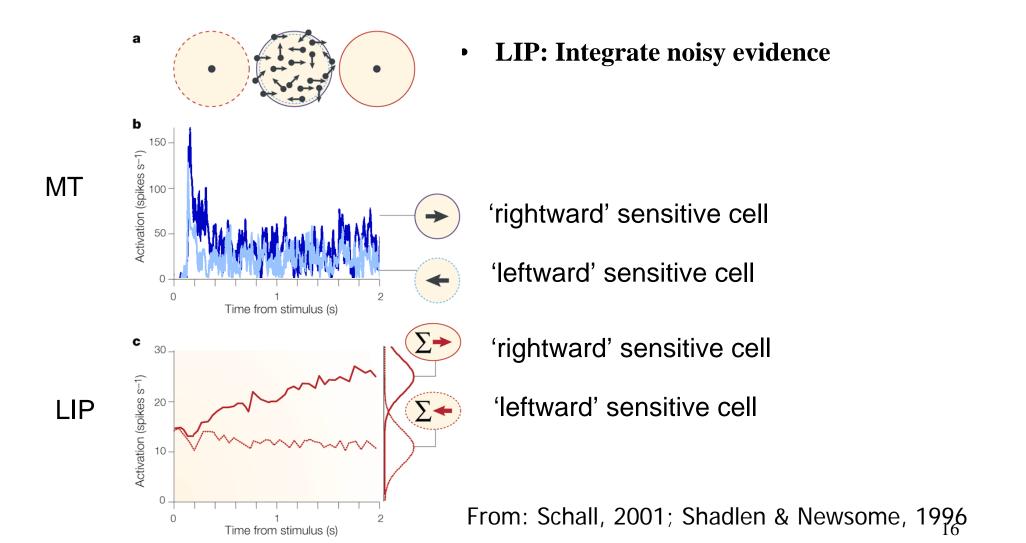
[Roitman + Shadlen '02]

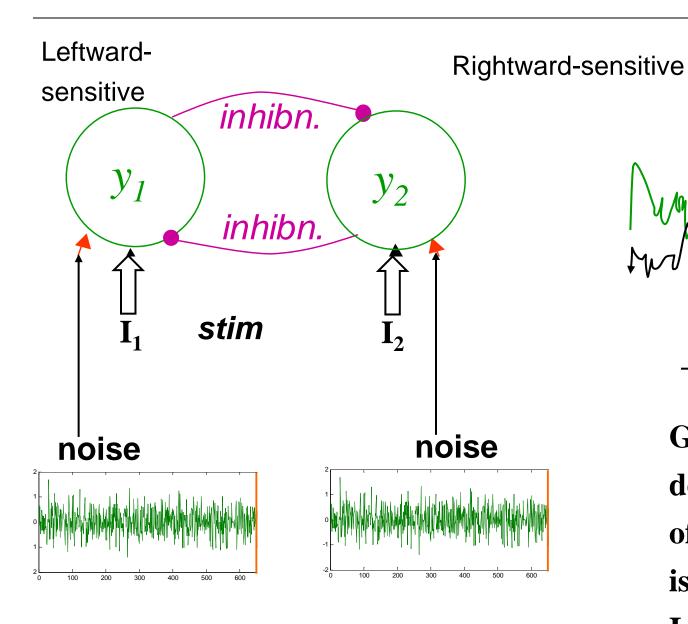
## Neural basis of decisions: Shadlen, Schall, Newsome, Movshon,

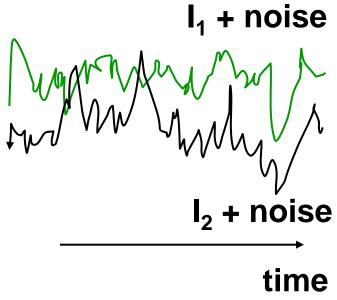
Gold, et al



MT: encode direction of movement







Goal: decide which of  $I_1$  or  $I_2$ is larger, i.e. compute  $I_1 - I_2$ 17

• ASIDE – where does noise come from?

mechanism 1: sensory scanning

### Neural representation of incoming information fluctuates in time

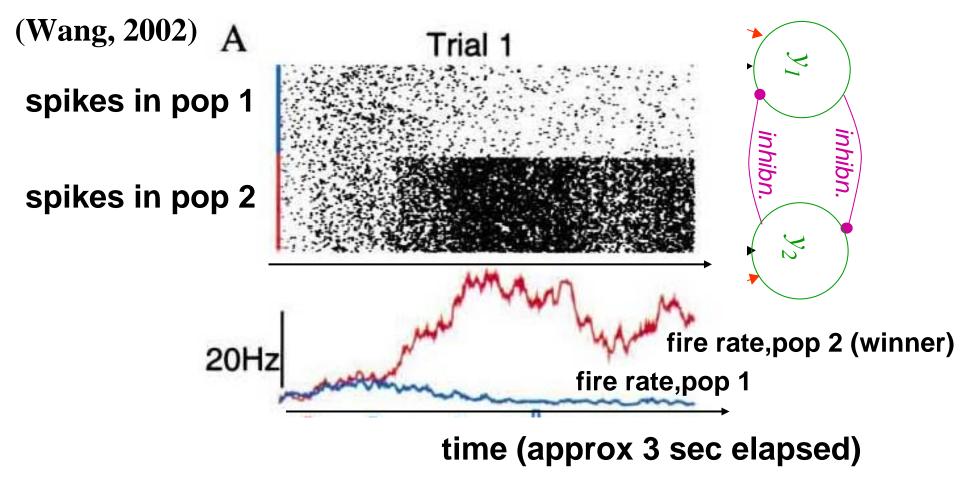
#### mechanism 2: stimulus itself fluctuates

30 % coherent 5 % coherent

Bill Newsome

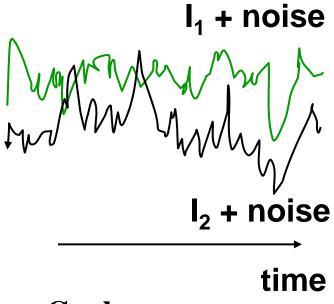
### Neural representation of incoming information fluctuates in time

mechanism 3: intrinsic fluctuations due to finite-size effects internal dynamics of neural populations are noisy ...

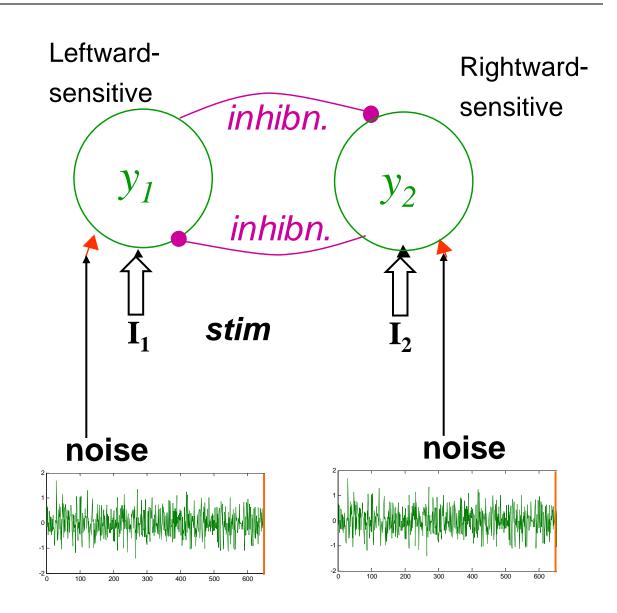


(AND may be correlated: Zohary et al, Science 1996)

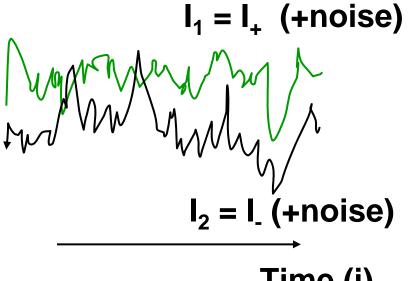
## Back to problem at hand ...



Goal: decide which of  $I_1$  or  $I_2$  is larger, i.e. compute  $I_1 - I_2$ 

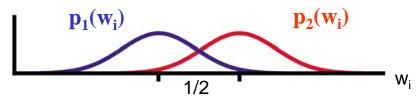


## Back to problem at hand ...



Time (i)

Would like to interpret as SPRT



 $w_i$ , increment of input over  $\Delta t_i$ 

Issue: input is TWO-D.

Follow Gold/Shadlen, TINS 2001 to resolve.

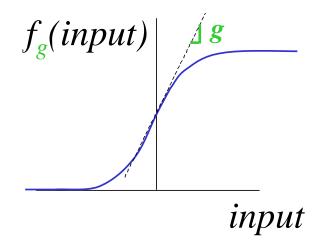
×

23

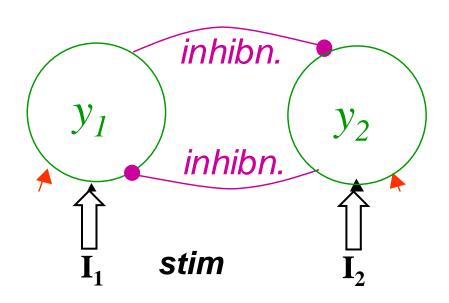
## **Dynamics?**

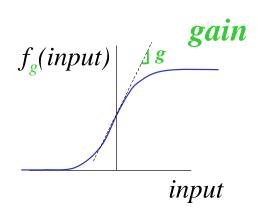
• Think of neural "units..." described by firing rates y which approach equilibrium rates f(input) with time constant  $\tau_m$ .

$$\tau_m \frac{dy}{dt} = -y + f(input)$$



## Two inhibiting neural populations





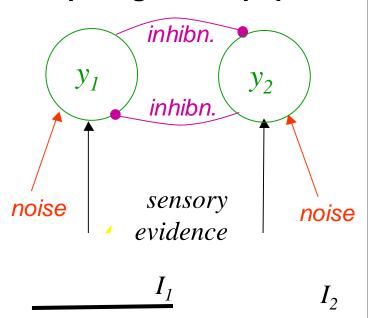
Firing rates  $(y_1, y_2)$  of competing neural pops... approach f(input) with time constant

$$\tau_m \frac{dy_1}{dt} = -y_1 + f(-\beta y_2 + I_1(t))$$

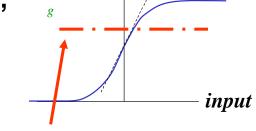
$$\tau_m \frac{dy_2}{dt} = -y_2 + f(-\beta y_1 + I_2(t))$$

### Two-alternative choice task

# Firing rates $(y_1, y_2)$ of competing neural pops...

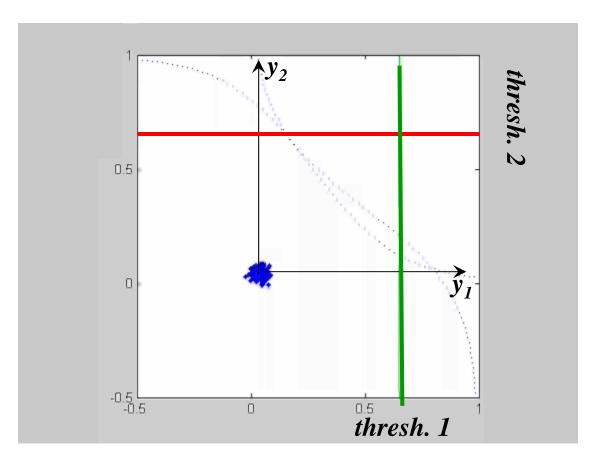


approach functions of their inputs,



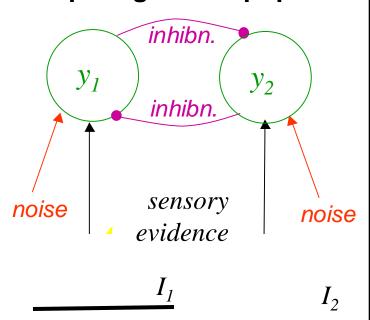
crossing decision thresholds along the way.

Decision 1 or 2 made when firing rate  $y_1$  or  $y_2$  crosses threshold

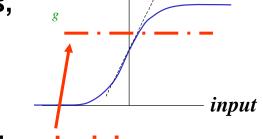


### Two-alternative choice task

# Firing rates $(y_1, y_2)$ of competing neural pops...

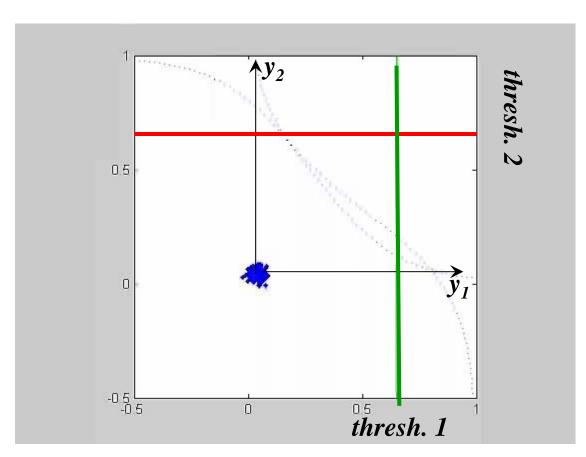


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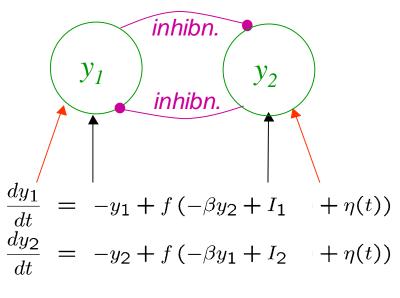


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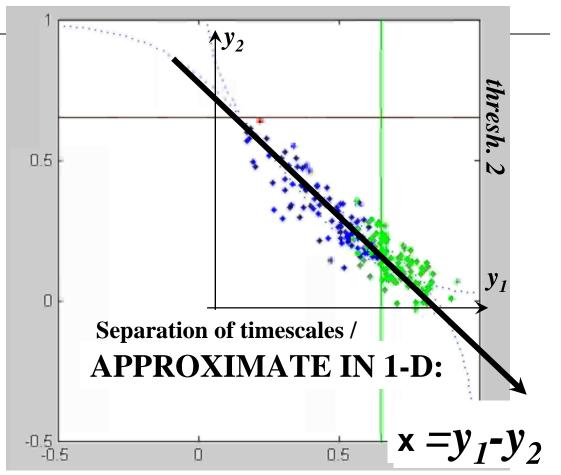
# Firing rates $(y_1, y_2)$ of competing neural pops...



#### Linearize:

$$\frac{dy_1}{dt} = -y_1 + g * (-y_2 + I_1 + \eta_1)$$

$$\frac{dy_2}{dt} = -y_2 + g * (-y_1 + I_2 + \eta_2)$$



#### **Subtract:**

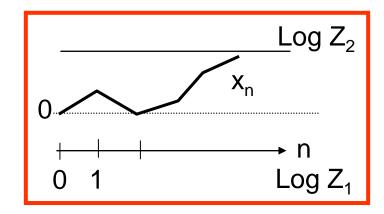
$$\frac{d x}{dt} = -x + g(x + I_1 - I_2) + g\eta(t)$$
 (1)

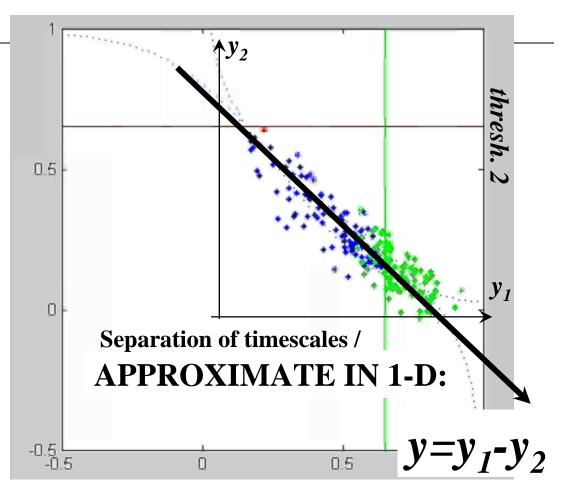
TUNE GAIN to g=1, define drift  $A=I_1-I_2$ 

$$\frac{d \mathbf{x}}{dt} = A + \eta(t) \tag{2}$$

recover SPRT, optimal decision strategy

# Firing rates $(y_1, y_2)$ of competing neural pops...





$$\frac{d x}{dt} = -x + g(x + I_1 - I_2) + g\eta(t)$$
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recover SPRT, optimal decision strategy